CUSTOMER NO. 41230

PATENT APPLICATION
Docket No. 47171-298USPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

John E. Jones et al.

Application No.: 09/965428

Filed: September 27, 2001

For: DOCUMENT PROCESSING SYSTEM USING

FULL IMAGE SCANNING

TRANSMITTAL LETTER

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P. 0.800 1450 Alexandria, VA 22313-1450, on the date shown below.

Group Art Unit: 2623

Examiner: A. P. Bhatnagar

Dated: 05-05 Signatu

(Mérgo Barbarash)

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

- 1. 6TH Information Disclosure Statement;
- 2. Form PTO/SB/08 IDS (Citation) by Applicant (4 References);
- 3. References A1-3, C1;
- 4. Fee Transmittal;
- 5. Acknowledgment postcard.

Our check in the amount of \$180.00 covering the required fees is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 10-0447, under Order No. 47/71-00298USPT.

Dated:

Respectfully submitted

Andre M. Szuwalski

Registration No.: 35,701

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1445 Ross Avenue, Suite 3200

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(214) 855-4500

Attorneys For Applicant



Docket No.: 47171-00298USPT

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

John E. Jones et al.

Application No.: 09/965428

Confirmation No.: 3631

Filed: September 27, 2001

Art Unit: 2623

For:

DOCUMENT PROCESSING SYSTEM

USING FULL IMAGE SCANNING

Examiner: A. P. Bhatnagar

INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mai; if an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. 165/1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 05-05

e: /// /// // // (Margo Barbarash)

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing

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Application No.: 09/965428 Docket No.: 47171-00298USPT

of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Our check in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 10-0447, under Order No. 47171-

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Respectfully submitted

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ALTERNATIVE TO PTO/SB/08a/b (06-03)

Substitute for form 1449/PTO				Complete if Known		
		_		Application Number	09/965428-Conf. #3631	
6 TH	INFORMA	TION	DISCLOSURE	Filing Date	September 27, 2001	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	John E. Jones	
				Art Unit	2623	
				Examiner Name	A. P. Bhatnagar	
Sheet	1	of	1	Attorney Docket Number	47171-00298USPT	

			U.S. PA	TENT DOCUMENTS
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,065,672	05-23-2000	Haycock
	A2	US-6,131,718	10-17-2000	Witschorik
	A3	US-6,550,671	04-22-2003	Brown, et al.

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (il known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T⁵	

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Laminier Cite Magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publ		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	C1	International Search Report, PCT/US02/30157, dated April 14, 2003.		

^{*}EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

¹Applicant's unique citation designation number (optional). 2Applicant is to place a check mark here if English language Translation is attached.

CUSTOMER NO. 41230

PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004 09/965428-Conf. #3631 Application Number Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). September 27, 2001 FEE TRANSMITTAL Filing Date First Named Inventor John E. Jones For FY 2005 Examiner Name A. P. Bhatnagar Applicant claims small entity status. See 37 CFR 1.27 2623 Art Unit 47171-00298USPT TOTAL AMOUNT OF PAYMENT (\$) 180.00 Attomey Docket No. METHOD OF PAYMENT (check all that apply) x Check Credit Card Money Order None Other (please identify): Jenkens & Gilchrist, a Professional Corporation Deposit Account Deposit Account Number: 10-0447 Deposit Account Name: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below Charge any additional fee(s) or underpayment of x Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES** Small Entity Small Entity Small Entity Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) 200 300 500 250 100 Utility 150 130 200 100 100 50 65 Design Plant 200 100 300 150 160 80 600 300 300 500 250 Reissue 150 200 100 Provisional **Small Entity** 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 Each independent claim over 3 (including Reissues) 200 100 180 360 Multiple dependent claims Fee Paid (\$) **Multiple Dependent Claims Total Claims** Extra Claims Fee (\$) Fee Paid (\$) Fee Paid (\$) Indep. Claims Extra Claims 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Fee Paid (\$) Extra Sheets Number of each additional 50 or fraction thereof **Total Sheets** - 100 = /50 (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filling surcharge): 1806 Submission of an Information Disclosure Statement 180.00 SUBMITTED BY Registration No (2,14) 855-4795 35,701 Telephone (Attorney/Agent) Name (Print/Type) Andre M. Szuwalski Date I hereby certify that this correspondence is being deposited with the U.S. Postal Service with/sufficient postage as First Class Mail, in an envelope addressed to: MS AMENDMENT, Commissioner for Pate 15. Box 1450, Alexandria, VA 22313-1450, on the date shown below (Margo Barbarash) Signature:



FEE SUMMARY SHEET

Information Disclosure Statement (IDS)

Date:

May 3, 2005

Time:

4:37 PM

Docket:

47171-00298USPT

September 27, 2001 09/965428

Filing Date: Application No:

Total Fee:

\$ 180.00

Code	Amount	37 CFR	Fee Description	Listed on
1806	180.00	1.17(p)	Submission of an Information Disclosure Statement	Transmittal Letter (Patent)